

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

194264US-2-DIV

First Inventor or Application Identifier

HIROSHI SHINRIKI

Title

FILM FORMING APPARATUS AND METHOD, AND FILM ...

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)

2. ☒ Specification Total Pages **88**

3. ☒ Formal Drawing(s)
(35 U.S.C. 113) Total Sheets **19**

4. ☒ Oath or Declaration Total Pages **2**

a. ☐ Newly executed (original or copy)

b. ☒ Copy from a prior application (37 C.F.R. §1.63(d))
(for continuation/divisional with box 15 completed)

i. ☐ DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named
in the prior application, see 37 C.F.R. §1.63(d)(2) and
1.33(b).

5. ☒ Incorporation By Reference (usable if box 4B is checked)
The entire disclosure of the prior application, from which a copy of
the oath or declaration is supplied under Box 4B, is considered to be
part of the disclosure of the accompanying application and is hereby
incorporated by reference therein.

ACCOMPANYING APPLICATION PARTS

6. ☒ Assignment Papers(Reel 8685/Frame 0006)
7. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
8. ☐ English Translation Document (if applicable)
9. ☒ Information Disclosure
Statement (IDS)/PTO-1449 ☐ Copies of IDS
Citations
10. ☒ Preliminary Amendment
11. ☒ White Advance Serial No. Postcard
12. ☐ Small Entity Statement(s) ☐ Statement filed in prior
application. Status still proper
and desired.
13. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)

14. ☒ Other: Request for Priority
The PTO did not receive the following
listed item(s) Assignment

15. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application no.: 08/889,590

Prior application information: Examiner: BUEKER, R.

Group Art Unit: 1763

16. Amend the specification by inserting before the first line the sentence:

☒ This application is a ☐ Continuation ☒ Division ☐ Continuation-in-part (CIP)
of application Serial No. 08/889,590 Filed on July 8, 1997

☐ This application claims priority of provisional application Serial No.

Filed

17. CORRESPONDENCE ADDRESS



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Name: Gregory J. Maier

Registration No.: 25,599

Signature:

Date:

Name: Eckhard H. Kuesters

Registration No.: 28,870

Docket No. 194264US-2DIV

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) HIROSHI SHINRIKI ET AL.

SERIAL NO: New Application

FILING DATE: Herewith

FOR: FILM FORMING APPARATUS AND METHOD, AND FILM ...

FEE TRANSMITTAL

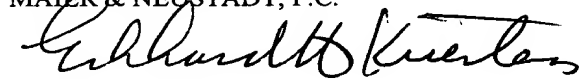
ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	16 - 20 =	0	× \$18 =	\$0.00
INDEPENDENT CLAIMS	2 - 3 =	0	× \$78 =	\$0.00
<input checked="" type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$260 =	\$260.00
<input type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	\$0.00
BASIC FEE				\$690.00
TOTAL OF ABOVE CALCULATIONS				\$950.00
<input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$0.00
<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$0.00
<input type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$40 =	\$0.00
TOTAL				\$950.00

- ☐ Please charge Deposit Account No. 15-0030 in the amount of _____ A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of **\$950.00** to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.



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Date: 7-14-00



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GJM:EHK:RAR:clh

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: HIROSHI SHINRIKI ET AL.

GAU:

SERIAL NO: NEW DIVISIONAL APPLICATION

EXAMINER:

FILED: HEREWITH

FOR: FILM FORMING APPARATUS AND METHOD, AND FILM ...

REQUEST FOR PRIORITY

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

- ☒ Full benefit of the filing date of U.S. Application Serial Number 08/889,590, filed July 8, 1997, is claimed pursuant to the provisions of 35 U.S.C. §120.
- ☐ Full benefit of the filing date of U.S. Provisional Application Serial Number , filed , is claimed pursuant to the provisions of 35 U.S.C. §119(e).
- ☒ Applicants claim any right to priority from any earlier filed applications to which they may be entitled pursuant to the provisions of 35 U.S.C. §119, as noted below.

In the matter of the above-identified application for patent, notice is hereby given that the applicants claim as priority:

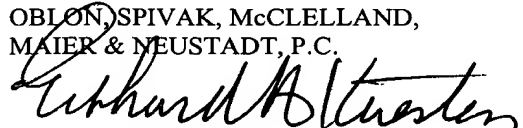
<u>COUNTRY</u>	<u>APPLICATION NUMBER</u>	<u>MONTH/DAY/YEAR</u>
JAPAN	8-203253	JULY 12, 1996
JAPAN	8-203254	JULY 12, 1996
JAPAN	8-203255	JULY 12, 1996
JAPAN	9-130258	MAY 2, 1997
JAPAN	9-130259	MAY 2, 1997
JAPAN	9-130260	MAY 2, 1997

Certified copies of the corresponding Convention Application(s)

- ☐ are submitted herewith
- ☐ will be submitted prior to payment of the Final Fee
- ☒ were filed in prior application Serial No. 08/889,590 filed July 8, 1997
- ☐ were submitted to the International Bureau in PCT Application Number .
Receipt of the certified copies by the International Bureau in a timely manner under PCT Rule 17.1(a) has been acknowledged as evidenced by the attached PCT/IB/304.
- ☐ (A) Application Serial No.(s) were filed in prior application Serial No. filed ; and
(B) Application Serial No.(s)
 - ☐ are submitted herewith
 - ☐ will be submitted prior to payment of the Final Fee

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
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15586 U.S. PTO
09/617254
07/14/00